

PATENT

Customer No. 22,852

Attorney Docket No. 5725.0489-00

#5  
Pat

)

;

)

)

1

)

1

)

)

)

)

)

)

1

## /

~~AZO-DERIVATIVE-AND-DYEING-METHOD USING SAID COMPOSITION~~ in the names  
of Gérard LANG et al., as indicated by assignment duly recorded in the United States  
Patent and Trademark Office at Reel 010580, Frame 0870 on January 6, 2000.

Assignee, L'Oréal S.A, further represents that it is the assignee of the entire right, title and interest in and to U.S. Application No. 09/424,119, as indicated by assignment

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
[www.finnegan.com](http://www.finnegan.com)

03 FC:148

110.00 GP

submitted for recordation in the United States Patent and Trademark Office on January 5, 2000. A copy of the as-filed assignment together with the date-stamped postcard receipt is attached hereto.

To obviate a double patenting rejection, assignee hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. §§ 154 to 156 and 173, as presently shortened by any terminal disclaimer, of any patent granted on copending patent Application No. 09/424,119 (filed on January 5, 2000), Assignee hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the copending patent application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, Assignee does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. §§ 154 to 156 and 173 of any patent granted on the copending patent application, as presently shortened by any terminal disclaimer, in the event that any patent granted on the copending patent application later expires for failure to pay a maintenance fee, is held unenforceable, is found invalid

by a court of competent jurisdiction, is statutorily disclaimed in whole or in part, is terminally disclaimed under 37 C.F.R. § 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com

In accordance with the fee schedule set forth in 37 C.F.R. § 1.20(d), the required fee of \$110.00 is being filed with this disclaimer.

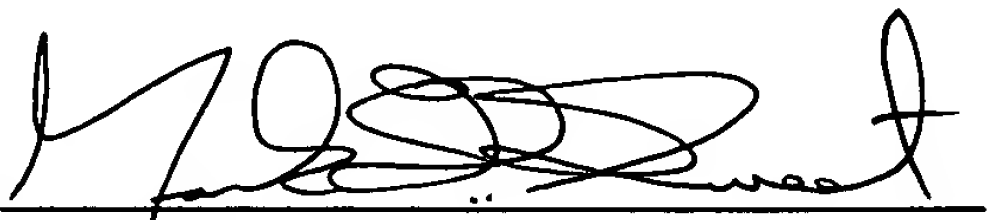
If a check for the required fee is not filed concurrently herewith or if there are any additional fees due in connection with the filing of this Terminal Disclaimer, please charge the fees to our Deposit Account No. 06-0916. If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to Deposit Account No. 06-0916

The undersigned is an attorney of record.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: May 3, 2002

By:   
Mark D. Sweet  
Reg. No. 41,469

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
www.finnegan.com

TLI/WZN/PAG

PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING: (23)

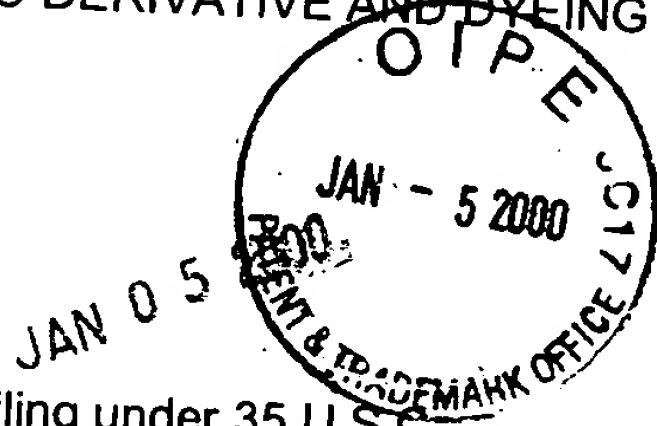
National Stage of International Application No. PCT/FR99/00541 under 35 U.S.C. 371

For: OXIDATION DYEING COMPOSITION FOR KERATINOUS FIBRES  
CONTAINING A 3-AMINOPYRIDINE AZO DERIVATIVE AND DYEING  
METHOD USING SAID COMPOSITION

Serial No.: 09/424,119

Inventors: Gérard LANG et al.

BOX PCT



- PAPERS FILED:
1. Transmittal Letter concerning a filing under 35 U.S.C. 371 (Duplicate)/executed French Language Declaration/\$130.00 surcharge fee charged to Deposit Account
  2. Submission of Assignment of Application/Recordation Form Cover Sheet/executed Assignment/\$40.00 recordation fee charged to Deposit Account

Dated: January 5, 2000

Client No.: 05725.0488-00000

Attorney: A. Gutowski/P. Gebhardtsbauer - MD# 930

DUE DATE: NO DUE DATE

*Dkt'd 01-06-00 pma*

RECEIVED  
MAY 07 2002  
TC 1700

PATENT

Attorney Docket No. 05725.0488-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage of International  
Application No. PCT/FR99/00541 of:

Gérard LANG et al.

Serial No.: 09/424,119

PCT Filed: March 11, 1999

Filed: November 19, 1999

For: OXIDATION DYEING COMPOSITION FOR  
KERATINOUS FIBRES CONTAINING A  
3-AMINOPYRIDINE AZO DERIVATIVE AND  
DYEING METHOD USING SAID COMPOSITION

Group Art Unit: Unassigned

Examiner: Unassigned

SUBMISSION OF ASSIGNMENT OF APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Box Assignments

Sir:

Applicants hereby submit the executed Assignment in the above-identified application from GÉRARD LANG, JEAN COTTERET, AND MIREILLE MAUBRU, assigning their rights in the invention to L'ORÉAL. Please record the Assignment and return to the undersigned.


Please charge our Deposit Account No. 06-0916 in the amount of \$40.00 to cover the required recordation fee. A duplicate copy of this sheet is enclosed.

Please charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

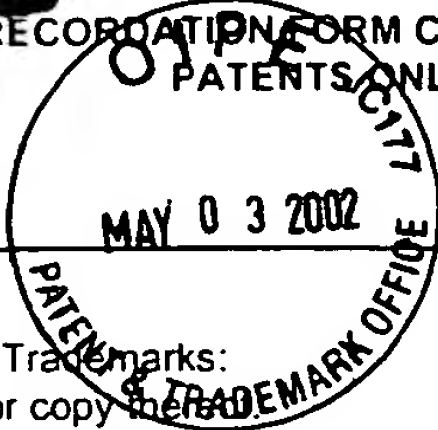
  
Anthony M. Gutowski  
Reg. No. 38,742

Dated: January 5, 2000



RECEIVED  
MAY 07 2002  
TC 1700

LAW OFFICES  
FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N.W.  
WASHINGTON, D.C. 20005  
202-408-4000



To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached original documents or copy them.

ATTN. BOX ASSIGNMENTS

1. Name of conveying party(ies):

Gérard LANG, Jean COTTERET, and Mireille MAUBRU

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: L'Oréal S.A.

Internal Address: \_\_\_\_\_

Street Address: 14, rue Royale

75008 Paris, France

City: \_\_\_\_\_

State: \_\_\_\_\_

ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

Other \_\_\_\_\_

Execution Date: November 15, 1999; November 10, 1999; and  
November 15, 1999

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

09/424,119

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas L. Irving

Internal Address: FINNEGAN, HENDERSON,

FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 1300 I Street, NW

City: Washington, D.C.

State: \_\_\_\_\_ ZIP: 20005

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Deposit Account

8. Deposit Account Number: 06-0916

RECEIVED  
MAY 07 2002  
TC 1700

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anthony M. Gutowski - Reg. No. 38,742  
Name of Person Signing

Anthony M. Gutowski  
Signature

January 5, 2000  
Date

Total number of pages including cover sheet, attachments and document: 4

JOINT INVENTION  
(U.S. Rights Only)

**ASSIGNMENT**

WHEREAS, We, the below named inventors, (hereinafter referred to as Assignors, have made an invention entitled:

OXIDATION DYEING COMPOSITION FOR KERATINOUS FIBRES CONTAINING A 3-AMINOPYRIDINE AZO DERIVATIVE AND DYEING METHOD USING SAID COMPOSITION

for which we executed an application for United States Letters Patent concurrently herewith or on \_\_\_\_\_ or filed an application for United States Letters Patent on \_\_\_\_\_ (Serial No. \_\_\_\_\_); and

WHEREAS, L'OREAL S.A., a corporation of France whose post office address is 14, rue Royale, F-75008 Paris, France (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from assignee is hereby acknowledged, we, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with assignee, its successors and assigns, any facts known to us respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

AND, WE HEREBY authorize and request the attorneys we have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No. CA/024,141, filed November 11, 1999) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, we have hereunto set our hands.

**First Assignor**

Gérard LANG  
51B, rue R. Thomas  
F-95390 Saint Prix, France

Citizenship: French

Signature: Gérard Lang  
Date: 1999, Nov. 15

**Second Assignor**

Jean COTTERET  
13, rue du Pré Rousselin  
F-78480 Verneuil-sur-Seine, France

Citizenship: French

Signature: Jean COTTERET  
Date: 1999, November 10<sup>th</sup>



**Third Assignor**

Mireille MAUBRU  
7, avenue d'Epremesnil  
F-78400 Chatou, France

Citizenship: French

Signature: Mireille MAUBRU

Date: 1999, November 15<sup>th</sup>